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PTO/SB/21 (10-96)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/218,816
Filing Date	12/22/98
First Named Inventor	BURGESS R. JOHNSON, ET AL
Group Art Unit	2875
Examiner Name	NOT ASSIGNED
Attorney Docket Number	H16-17039 US

Total Number of Pages in This Submission

### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
- ☐ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Checklist and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer

- ☐ After Allowance Communication to group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Intl. Search Report  
PTO1449 Form  
5 References

Remarks

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name  
IAN D. MACKINNON  
REG. NO. 34,660

Signature

Date

*[Signature]*  
4/28/2000

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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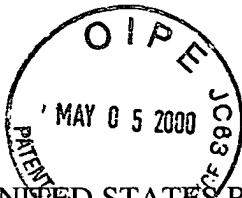
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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

PATENT #3

Minneapolis, Minnesota

5/18/00

Applicant -	BURGESS R. JOHNSON, ET AL	Group -	2875
Serial No. -	09/218,816	Examiner -	Not Assigned
Filed -	12/22/98	Docket No	H16-17039 US
For -	EFFICIENT SOLID-STATE LIGHT EMITTING DEVICE WITH EXCITED PHOSPHORS FOR PRODUCING A VISIBLE LIGHT OUTPUT		

CERTIFICATION UNDER 37 C.F.R. 1.97(e)(1)

Assistant Commissioner for Patents  
Washington, D.C. 2031  
Dear Sir:

Each item of information contained in the enclosed Supplementary Information Disclosure Statement was cited in an International Search Report mailed on March 20, 2000, which is less than three months prior to the present certified mailing of the subject statement.

No representation is hereby made that the references are "prior art" within the meaning of 35 U.S.C. 102 and 103, and no representation is intended as to the relative relevance of any portion of a reference.

Respectfully submitted,  
BURGESS R. JOHNSON, ET AL.

Ian D. MacKinnon, Attorney  
Reg. No. 34,660

Telephone: (612) 951-7096  
IDM/ST

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